

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Assistant Commissioner For Patents  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Sherry Leonard and Robert Freedman for ALPHA-7 NICOTINIC RECEPTOR.

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the U.S. Postal Service on this date October 23, 1997 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EM 121 692 361 US addressed to: Box Patent Application, Assistant Commissioner For Patents, Washington, D.C. 20231.

  
Kamrin T. MacKnight

1. **Type Of Application**  
This new application is for a(n)  
☒ Original (nonprovisional)
2. **Papers Enclosed That Are Required For Filing Date Under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application**  
88 Pages of Specification  
4 Pages of Claims  
1 Page of Abstract  
10 Sheets of Informal Drawings
3. **Declaration**  
☒ Enclosed  
☒ Unexecuted.
4. **Inventorship Statement**  
The inventorship for all the claims in this application is:  
☒ the same
5. **Language**  
☒ English
6. **Fee Calculation (37 C.F.R. § 1.16)**  
☒ Regular application

CLAIMS AS FILED

Number Filed	Number Extra	Rate	Basic Fee - \$790.00 (37 C.F.R. § 1.16(a))
Total Claims (37 C.F.R. § 1.16(c))	25 - 20 =	5 × \$22.00 =	\$110.00
Independent Claims (37 C.F.R. § 1.16(b))	4 - 3 =	1 × \$82.00 =	\$82.00
Multiple Dependent Claim(s), if any (37 C.F.R. § 1.16(d))	+ \$260.00 =		\$0.00

Filing Fee Calculation \$982.00

Filing Fee Calculation (50% of above) \$491.00

7. **Fee Payment Being Made At This Time**

- ☒ Enclosed  
☒ basic filing fee \$491.00

Total Fees Enclosed \$491.00

8. **Method of Payment of Fees**

- ☒ Check in the amount of \$491.00


9. **Authorization To Charge Additional Fees and Credit Overpayment**

☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No.: 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

10. **Return Receipt Postcard**

☒ Enclosed

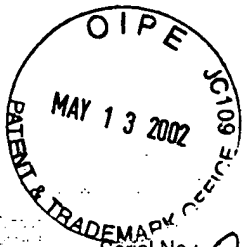
Dated: October 23, 1997

  
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☒ **Statement Where No Further Pages Added**

☒ This transmittal ends with this page.



Serial No.: 08/956,518

MC File No.: UTC - 03042

By: KTH

In The Matter of the Application Of: SHERRY LEONARD et al.

Date Mailed: 04/01/99

Due Date: 04/23/99

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

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| <input type="checkbox"/> Design Patent Application _____ Pages            | <input type="checkbox"/> Trademark Application                    | <input checked="" type="checkbox"/> Transmittal Letter             | <input type="checkbox"/> Duplicate |
| <input type="checkbox"/> Plant Patent Application _____ Pages             | <input type="checkbox"/> ITU Trademark Application                | <input type="checkbox"/> Certificate of Mailing                    |                                    |
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| <input type="checkbox"/> Information Disclosure Statement                 | <input type="checkbox"/> Section 8 & 15 Affidavits                | <input type="checkbox"/> Check(s): \$ _____                        |                                    |
| <input type="checkbox"/> Form PTO-1449 and Copies of _____                | <input type="checkbox"/> Specimens _____                          | <input checked="" type="checkbox"/> Deposit Account Authorization  |                                    |
| References Contained Thereon                                              | <input type="checkbox"/> Declaration/Oath/Affidavits              | <input checked="" type="checkbox"/> <u>Response to Notice to</u>   |                                    |
| <input type="checkbox"/> Form PTO-1533 (Not. of Missing Parts)            | <input type="checkbox"/> Drawings: _____ Sheet(s)                 | <input type="checkbox"/> <u>Comply with Requirements</u>           |                                    |
| <input checked="" type="checkbox"/> Certificate Re: Sequence Listing      | <input type="checkbox"/> Formal <input type="checkbox"/> Informal | <input type="checkbox"/> <u>For Patent Applications Containing</u> |                                    |
| <input checked="" type="checkbox"/> Sequence Listing in Paper Copy and on | <input type="checkbox"/> Power of Attorney _____                  | <u>Nucleotide Sequence</u>                                         |                                    |
| Computer Readable Diskette                                                | <input type="checkbox"/> Form PTO-1594/1595                       |                                                                    |                                    |
| <input type="checkbox"/> Form PTOL-85B (Issue Fee Transmittal)            | <input type="checkbox"/> Assignment                               |                                                                    |                                    |
| <input type="checkbox"/> Form PTO-1050 (Cert. of Correction)              | <input type="checkbox"/> Small Entity Declaration                 |                                                                    |                                    |
| <input type="checkbox"/> Maintenance Fee Transmittal Form                 | <input type="checkbox"/> Amendment/Response                       |                                                                    |                                    |
| <input type="checkbox"/> Reexamination Request                            | <input type="checkbox"/> Extension of Time Req.: _____ Month(s)   |                                                                    |                                    |
| <input type="checkbox"/> PCT Application _____ Pages                      | <input type="checkbox"/> Notice of Appeal                         |                                                                    |                                    |
| <input type="checkbox"/> Chapter II Demand                                | <input type="checkbox"/> Petition _____                           |                                                                    |                                    |

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